

FY 2016 – FY 2018 Triennial

Overall Goal for Disadvantaged Business Enterprise Participation in Federal Transit Administration Assisted Programs

Prepared by the

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Disadvantaged Business Enterprise (DBE)

FY 2016 – FY 2018 Triennial Overall DBE Goal for FTA-Assisted Programs

The Santa Barbara Metropolitan Transit District (MTD) has prepared this FY 2016 – FY 2018 triennial overall Disadvantaged Business Enterprise (DBE) goal for Federal Transit Administration (FTA)-assisted programs in compliance with Code of Federal Regulations (CFR) Title 49--Transportation, Subtitle A--Office of the Secretary of Transportation, Part 26--Participation By Disadvantaged Business Enterprises In Department Of Transportation Financial Assistance Programs, Subpart C--Goals, Good Faith Efforts, and Counting, Section 26.45--How do recipients set overall goals.

MTD anticipates undertaking the following projects in FY 2016:

Project	Total Cost	FTA Share
<i>Total Operating Expenses</i>	\$25,280,361	\$4,914,368
<u>Capital Projects (Excluding Revenue Vehicles)</u>		
Superstop Project	\$251,990	\$233,777
Transit Center Expansion Project	\$1,550,000	\$250,223
Other Capital Projects	\$3,920,837	\$0
<i>Total Capital (Excluding Revenue Vehicles)</i>	\$5,722,827	\$484,000

In FY 2016 MTD anticipates the use of FTA funds for operating expenses and for two capital projects (enhanced bus stops, called "Superstops," and a Transit Center expansion project). MTD does not anticipate the use of FTA funds for any other capital projects that are applicable to the overall DBE annual goal. (MTD also anticipates the use of FTA funds for revenue vehicle purchases in FY 2016. Pursuant to FTA regulations, transit revenue vehicle purchases are not included in this calculation of MTD's overall DBE annual goal.)

MTD anticipates that 24.3 percent of the agency's operating expenses will be available for contracting opportunities. Thus, 24.3 percent of the FTA share of operating expenses is anticipated to be available for contracting opportunities, for a total of \$1,194,191 in FTA operating funds available for contracting. The FTA share of capital funds, which totals \$484,000 (not including revenue vehicle purchases), is also anticipated to be available for contracting. Thus, in FY 2016, the total of FTA funds anticipated to be available for contracting is \$1,678,191.

Line Item	FTA Share
FTA Share of Total Operating Expense	\$4,914,368
Percent of Oper. Exp. Available for Contracts	24.3%
<i>FTA Share of Oper. Exp. Available for Contracts</i>	<i>\$1,194,191</i>
<i>FTA Share of Capital Exp. Available for Contracts</i>	<i>\$484,000</i>
Total FTA Share Available for Contracts	\$1,678,191

MTD calculated a base figure for the relative availability of DBE firms, pursuant to CFR 49, Section 26.45(c)(1). The table below presents the most recent information available regarding the total number of firms available as potential contractors for various categories of service (based on the US Census County Business Patterns North American Industry Classification System codes, or NAICS Codes), and the number of certified DBE firms that are potentially available in the same categories (from the California Unified Certification Program, or CUCP).

As is shown, this results in a base figure that 2.9 percent of all available firms are certified by the CUCP as DBE firms. Based on past experience of DBE participation, MTD believes that no adjustments to the calculated base figure are appropriate. MTD does not possess any other type of evidence, outside of past experience, to use as a basis for adjustment of the calculated base figure.

Based on the FTA operating and capital funds available for contracts and the figure of 2.9 percent for the availability of DBE contractors, MTD anticipates that, absent the effects of discrimination, \$49,045 in contracts would be awarded to DBE firms (2.9 percent of \$1,678,191).

MTD's overall FY 2016 - FY 2018 triennial goal for DBE participation in FTA-assisted programs of 2.9 percent is available for all CUCP-certified DBEs on a Race Neutral basis.

If appropriate, MTD may adjust the three-year overall goal during the three-year period to which it applies, in order to reflect changed circumstances. If so, MTD will submit such an adjustment to the FTA for review and approval.

MTD Total Firms & DBE Firms

NAICS 2012	Description	Number of Firms ¹		Percent
		Total (2012)	DBE (2015)	DBE
441310	Automotive Parts & Accessories Stores	981	4	0.4%
441320	Tire Dealers	605	0	0.0%
443142	Electronics Stores	1,778	0	0.0%
444130	Hardware Stores	321	1	0.3%
453210	Office Supplies & Stationery Stores	324	4	1.2%
454310	Fuel Dealers	45	0	0.0%
485991	Special Needs Transportation	127	6	4.7%
517110	Wired Telecommunications Carriers	1,025	6	0.6%
517210	Wireless Telecommunications Carriers (except Satellite)	333	0	0.0%
522110	Commercial Banking	2,518	0	0.0%
524210	Insurance Agencies & Brokerages	4,572	18	0.4%
524291	Claims Adjusting	114	11	9.6%
524292	Third Party Admin. of Insurance & Pension Funds	163	2	1.2%
541110	Offices of Lawyers	8,378	24	0.3%
541430	Graphic Design Services	1,068	95	8.9%
541611	Admin. Management Consulting Services	2,686	409	15.2%
541850	Outdoor Advertising	114	27	23.7%
541860	Direct Mail Advertising	125	21	16.8%
541890	Other Services Related to Advertising	301	40	13.3%
561311	Employment Placement Agencies	450	3	0.7%
561439	Other Business Service Centers (including Copy Shops)	310	10	3.2%
561499	All Other Business Support Services	128	40	31.3%
561720	Janitorial Services	1,401	41	2.9%
561730	Landscaping	1,961	20	1.0%
561790	Other Services to Buildings & Dwellings	387	17	4.4%
611420	Computer Training	54	33	61.1%
611430	Professional & Management Development Training	213	85	39.9%
621999	All Other Misc. Ambulatory Health Care Services	124	1	0.8%
811121	Automotive Body, Paint, & Interior Repair & Maint.	1,399	3	0.2%
811122	Automotive Glass Replacement Shops	141	0	0.0%
811212	Computer & Office Machine Repair & Maintenance	207	18	8.7%
811213	Communication Equipment Repair & Maintenance	39	6	15.4%
812331	Linen Supply	46	3	6.5%
	Total/Base Figure	32,438	948	2.9%

Note 1: Total firms from County Business Patterns (US Census Bureau). DBE firms from CA UCP (Caltrans).

Line Item	Value
FTA Funds Available for Contracts	\$1,678,191
DBE Percentage Goal (Race Neutral)	2.9%
DBE Monetary Goal	\$49,045